

**B D SHAH SECURITIES LIMITED**

**Investor Complaints Data**

<b>BSE Clearing No.044</b>	<b>SEBI Reg.NO. INZ000200338</b>
<b>NSE Member ID-09934</b>	<b>SEBI Reg.NO. INZ000200338</b>
<b>Depository Participant ID - 21700</b>	<b>SEBI Reg.NO. IN-DP-148-2015</b>



Data for month ending DECEMBER 2022

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved *	Pending at the end of the month**		Average Resolution time*(in days)
1	2	3	4	5	6	pending for less than 3 months	7	8
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
3	Depositories	NIL	NIL	NIL	NIL	NIL	NIL	NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
5	<b>Grand Total</b>	NIL	NIL	NIL	NIL	NIL	NIL	NIL

Trend of Monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved *	Pending*
1	2	3	4	5	6
1	Apr-17	NIL	NIL	NIL	NIL
2	May-17	NIL	NIL	NIL	NIL
3	Jun-17	NIL	NIL	NIL	NIL
4	Jul-17	NIL	NIL	NIL	NIL
5	Aug-17	NIL	NIL	NIL	NIL
6	Sep-17	NIL	NIL	NIL	NIL
7	Oct-17	NIL	NIL	NIL	NIL
8	Nov-17	NIL	NIL	NIL	NIL
9	Dec-17	NIL	NIL	NIL	NIL
10	Jan-18	NIL	NIL	NIL	NIL
11	Feb-18	NIL	NIL	NIL	NIL
12	Mar-18	NIL	NIL	NIL	NIL
13	Apr-18	NIL	NIL	NIL	NIL
14	May-18	NIL	NIL	NIL	NIL
15	Jun-18	NIL	NIL	NIL	NIL
16	Jul-18	NIL	NIL	NIL	NIL
17	Aug-18	NIL	NIL	NIL	NIL
18	Sep-18	NIL	NIL	NIL	NIL
19	Oct-18	NIL	NIL	NIL	NIL
20	Nov-18	NIL	NIL	NIL	NIL
21	Dec-18	NIL	NIL	NIL	NIL
22	Jan-19	NIL	NIL	NIL	NIL
23	Feb-19	NIL	NIL	NIL	NIL
24	Mar-19	NIL	NIL	NIL	NIL
25	Apr-19	NIL	NIL	NIL	NIL
26	May-19	NIL	NIL	NIL	NIL
27	Jun-19	NIL	NIL	NIL	NIL
28	Jul-19	NIL	NIL	NIL	NIL
29	Aug-19	NIL	NIL	NIL	NIL
30	Sep-19	NIL	NIL	NIL	NIL
31	Oct-19	NIL	NIL	NIL	NIL
32	Nov-19	NIL	NIL	NIL	NIL
33	Dec-19	NIL	NIL	NIL	NIL
34	Jan-20	NIL	NIL	NIL	NIL
35	Feb-20	NIL	NIL	NIL	NIL
36	Mar-20	NIL	NIL	NIL	NIL
37	Apr-20	NIL	NIL	NIL	NIL
38	May-20	NIL	NIL	NIL	NIL
39	Jun-20	NIL	NIL	NIL	NIL
40	Jul-20	NIL	NIL	NIL	NIL
41	Aug-20	NIL	NIL	NIL	NIL
42	Sep-20	NIL	NIL	NIL	NIL
43	Oct-20	NIL	NIL	NIL	NIL
44	Nov-20	NIL	NIL	NIL	NIL
45	Dec-20	NIL	NIL	NIL	NIL
46	Jan-21	NIL	NIL	NIL	NIL
47	Feb-21	NIL	NIL	NIL	NIL
48	Mar-21	NIL	NIL	NIL	NIL
49	Apr-21	NIL	NIL	NIL	NIL
50	May-21	NIL	NIL	NIL	NIL
51	Jun-21	NIL	NIL	NIL	NIL
52	Jul-21	NIL	NIL	NIL	NIL
53	Aug-21	NIL	NIL	NIL	NIL
54	Sep-21	NIL	NIL	NIL	NIL
55	Oct-21	NIL	NIL	NIL	NIL
56	Nov-21	NIL	NIL	NIL	NIL
57	Dec-21	NIL	NIL	NIL	NIL
58	Jan-22	NIL	NIL	NIL	NIL
59	Feb-22	NIL	NIL	NIL	NIL
60	Mar-22	NIL	NIL	NIL	NIL
61	Apr-22	NIL	NIL	NIL	NIL
62	May-22	NIL	NIL	NIL	NIL
63	Jun-22	NIL	NIL	NIL	NIL
64	Jul-22	NIL	NIL	NIL	NIL
65	Aug-22	NIL	NIL	NIL	NIL
66	Sep-22	NIL	NIL	NIL	NIL
67	Oct-22	NIL	NIL	NIL	NIL
68	Nov-22	NIL	NIL	NIL	NIL
69	Dec-22	NIL	NIL	NIL	NIL
	<b>Grand Total</b>				

\*\*Should include total Complaints pending as on the last day of the month,if any.  
 \*Average resolution time is the sum total of time taken to resolve each Complaint in the current month divided by total number of Complaint resolved in the current month.

Trend of annual disposal of compliants

SN	Year	Carried forward from previous year	Recived during the year	Resolved during the year	Pending at the end of the year
1	2017-18	NIL	NIL	NIL	NIL
2	2018-19	NIL	NIL	NIL	NIL
3	2019-20	NIL	NIL	NIL	NIL
4	2020-21	NIL	NIL	NIL	NIL
5	2021-22	NIL	NIL	NIL	NIL
	<b>Grand Total</b>				