



**SUPPLEMENTARY KNOW YOUR CLIENT (KYC), FATCA & CRS -
 SELF CERTIFICATION FORM FOR INDIVIDUALS / NON INDIVIDUAL / NRI**
 Mandatory for all Applicant(s) including Joint-holder(s), Guardian (if applicable) and Power of Attorney (if any).
 [Please consult your professional tax advisor on your tax residency and related FATCA & CRS guidance]

A. FATCA & CRS INFORMATION (SELF CERTIFICATION)

PAN		BO ID :	
Name			
Type of Address given at KRA:	<input type="radio"/> Residential <input type="radio"/> Business <input type="radio"/> Residential / Business <input type="radio"/> Registered Office		
Nationality	<input type="text"/>	Gender	<input type="text"/> DOB <input type="text"/>
Mobile	<input type="text"/>	Place of Birth	<input type="text"/> Contry of Birth <input type="text"/>
Father's Name	<input type="text"/>		
Spouse's Name	<input type="text"/>		
Documents required (if PAN not provided) :	<input type="radio"/> Passport <input type="radio"/> Election ID Card <input type="radio"/> Govt. ID Card <input type="radio"/> Driving License <input type="radio"/> UIDAI Card <input type="radio"/> NREGA Card <input type="radio"/> Others		

Indetification number of the Document provided :

Is the applicant/ guardian's Country of Birth / Citizenship / Nationality / Tax Residency other than India?
 If yes, please indicate all countries in which you are resident for tax purposes and the associated Tax ID Number blow.

S No	County of Tax Residency	Tax Payer Idetification Number	Indentification Type(Tin or other)
1	<input type="text"/>	<input type="text"/>	<input type="text"/>
2	<input type="text"/>	<input type="text"/>	<input type="text"/>
3	<input type="text"/>	<input type="text"/>	<input type="text"/>

To also include USA, where the individual is a citizen / green card holder or USA
 ^ In case Tax Identification Number is not available, kindly provide its functional equivalent.

B. ADDITIONAL KYC INFORMATION

Occupation Details {Please tick (√)} Service Private Sector Public Sector Government Service
 Student Professional Housewife Business Retired Agriculture Proprietorship
 Others (please specify)

Gross Annual Income (₹) {Please tick (√)} : Below 1 Lac 1 - 5 Lacs 5 - 10 Lacs 10 - 25 Lacs
 >25 Lacs - 1 Crore >1 Crore

Net-worth (Mandatory for Non-Individual) ₹ as on (not more than 1 year)

Politically Exposed Person(PEP) Status* I am PEP I am Related to PEP Not Applicable

*PEP are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc

C. Declaration

I have read and understood the information requirements and the Terms and Conditions mentioned in this Form (read alongwith the FATCA & CRS Instructions) and hereby confirm that the information provided by me on this Form is true, correct and complete. I hereby agree and confirm to inform B D Shah Securities Ltd for any modification to this information promptly. I further agree to abide by the provisions of the Scheme related documents inter alia provisions on 'Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS) on Automatic Exchange of Information (AEOI)'.
 I hereby authorize you to disclose, share, remit in any form/manner/mode the above information and/or any part of it including the changes/updates that may be provided by me to the Mutual Fund, its Sponsor/s, Trustees, Asset Management Company, its employees, agents and third party service providers, SEBI registered intermediaries for single updation/ submission, any Indian or foreign statutory, regulatory, judicial, quasi- judicial authorities/agencies including but not limited to Financial Intelligence Unit-India (FIU-IND) etc without any intimation/advice to me.

Date :	<input type="text"/>	Place:	<input type="text"/>
×	×	×	
1st Holder Signature	2nd Holder Signature	3rd Holder Singnature	

Terms and Conditions

The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income tax Rules, 1962, which require Indian financial institutions to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our unit holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the folio(s) or any proceeds in relation thereto.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

Please note that you may receive more than one request for information if you have multiple relationships with us or our group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

In case investor has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, investor to provide relevant Curing Documents as mentioned below:

FATCA/ CRS Indicia observed (ticked)	Documentation required for Cure of FATCA/ CRS indicia
U.S. place of birth	1). Self-certification that the account holder is neither a citizen of United States of America nor a resident for tax purposes; 2). Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below); AND 3). Any one of the following documents: a. Certified Copy of "Certificate of Loss of Nationality or b. Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship or Reason the customer did not obtain U.S. citizenship at birth
Residence/ mailing address in a country other than India	1). Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and 2). Documentary evidence (refer list below)
Telephone number in a country other than India	If no Indian telephone number is provided 1). Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and 2). Documentary evidence (refer list below) If Indian telephone number is provided along with a foreign country telephone number 1. Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a tax resident for tax purposes of any country other than India; OR 2. Documentary evidence (refer list below)
Standing instructions to transfer funds to an account maintained in a country other than India (other than depository accounts)	1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and 2. Documentary evidence (refer list below)

List of acceptable documentary evidence needed to establish the residence(s) for tax purposes:

1. Certificate of residence issued by an authorized government body*
2. Valid identification issued by an authorized government body* (e.g. Passport, National Identity card, etc.)

* Government or agency thereof or a municipality of the country or territory in which the payee claims to be a resident.